



PATENT

Attorney's Docket No. 712-002.165

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: 7,386,204  
Issued: June 10, 2008  
Application No.: 09/648,525  
Name of Patentee: M. Davis et al.  
Title of Invention: *Optical filter having a shaped filter function*

**Certificate**  
FEB 01 2010  
**of Correction**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: Decision and Certificate of Correction  
Branch of the Patent Issue Division

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR APPLICANT'S MISTAKE**  
(37 C.F.R. § 1.323)

1. It is noted that errors appear in this patent of a

- ☐ clerical  
☒ typographical  
☐ minor

nature or character, as more fully described below. It occurred in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. A certificate of correction is requested.

2. Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing.

*NOTE: Form PTO/SB/44 (also Form PTO-1050), using the column and line number in the printed patent, should be used exclusively regardless of the length or complexity of the subject matter. MPEP § 1485 7<sup>th</sup> ed.*

*NOTE: The patent grant should be retained by the patentee. The PTO does not attach the certificate of correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. MPEP § 1485. 7<sup>th</sup> ed.*

I hereby certify that this correspondence is being deposited today with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Annemarie Maher*  
Annemarie Maher

*26 January 2010*  
Date

Attorney Ref. 712-002.165  
US Patent No. 7,386,204

3. The exact page and line number where the errors are shown incorrectly in the application file are:

*NOTE: This information should be identified in this request, however, on Form PTO-1050, only the column and line number in the printed patent should be used. MPEP § 1485.*

1. *Application filed August 26, 2000, page 2, line 11.*
2. *Application filed August 26, 2000, page 10, line 24 (not incorrect in application).*
3. *Application filed August 26, 2000, page 14, line 22.*
4. *Application filed August 26, 2000, page 18, line 28.*

4. Please send the Certificate to:

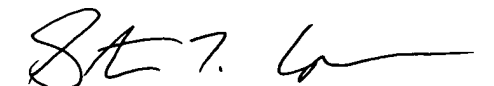
Name: William J. Barber  
Ware, Fressola, Van Der Sluys & Adolphson LLP  
Address: 755 Main Street, P.O. Box 224  
Monroe, Connecticut 06468

5. Please pay the fee required by 37 C.F.R. § 1.20(a)-\$100.00, as follows:

- ☒ Attached is a ☒ check ☐ money order in the amount of \$ 100.00  
☐ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_  
to Deposit Account No. \_\_\_\_\_  
to Credit card as shown on the attached credit card information authorization form PTO-2038.

*WARNING: Credit card information should not be included on this form as it may become public.*

- ☒ Charge any additional fees required by this paper or credit any overpayment to Deposit Account No. **23-0442**.  
A duplicate of this paper is attached.



STEVEN T. COOPER  
WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON  
Bradford Green, Bldg. 5, 755 Main Street  
P.O. Box 224  
Monroe, CT 06468

Reg. No. 65,716

Tel. No.: 203-261-1234

Customer No.: 004955

*NOTE: The certificate of correction for applicant's mistake may be signed by the attorney of record, unlike that for PTO mistake, where the patentee or an owner of an interest in the invention must make the request.*

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.: 7,386,204  
DATED: June 10, 2008  
APPLICATION NO.: 09/648,525  
INVENTOR(S): Michael A. DAVIS et al.  
TITLE: *Optical filter having a shaped filter function*

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

1. In column 1 at line 57, "5,995,155" should be --5,995,255--.
2. In column 6 at line 61, "mm" should be --nm--.
3. In column 9 at line 15, "pre-stain" should be --pre-strain--.
4. In column 11 at line 52, "cope" should be --scope--.

MAILING ADDRESS OF SENDER:

DOCKET # 712-002.165

PATENT NO. 7,386,204

William J. Barber  
Ware, Fressola, Van Der Sluys & Adolphson LLP  
755 Main Street, P.O. Box 224  
Monroe, Connecticut 06468

**Burden Hour Statement:** This form is estimated to take 1.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also FormPTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.: 7,386,204  
DATED: June 10, 2008  
APPLICATION NO.: 09/648,525  
INVENTOR(S): Michael A. DAVIS et al.  
TITLE: *Optical filter having a shaped filter function*

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

1. In column 1 at line 57, "5,995,155" should be --5,995,255--.
2. In column 6 at line 61, "mm" should be --nm--.
3. In column 9 at line 15, "pre-stain" should be --pre-strain--.
4. In column 11 at line 52, "cope" should be --scope--.

MAILING ADDRESS OF SENDER:

DOCKET # 712-002.165

PATENT NO. 7,386,204

William J. Barber  
Ware, Fressola, Van Der Sluys & Adolphson LLP  
755 Main Street, P.O. Box 224  
Monroe, Connecticut 06468

**Burden Hour Statement:** This form is estimated to take 1.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.